

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF : Marshall et al.  
FOR : COORDINATION COMPLEX  
SERIAL NO. : Unknown  
FILED : Herewith  
ART UNIT : Unknown  
ATTORNEY DOCKET NO. : YOUZ 2 00105

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97, 1.98 and MPEP § 609, Applicant submits the following Disclosure Statement concerning art of which the Applicant is aware. A copy of PTO-1449 is enclosed herewith.

This Information Disclosure Statement is not intended to constitute an admission that any patent, publication or other information referred to herein or submitted herewith is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. §1.97(g) and (h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Under § 1.98(a)(3), no concise explanation of relevance is required for information that is in the English language.

EP 0 747 405 A1 - EPO

Other Art:

Gibson et al., Novel Mono-alkyl Magnesium Complexes Stabilized by a Bulky  $\beta$ -Diketimate Ligand: Structural Characterization of a Coordinatively Unsaturated Trigonal System, J. Am. Chem. Soc. 2000, 122, 7120-7121, XP-002234846

CERTIFICATE OF MAILING

I hereby certify that this INFORMATION DISCLOSURE STATEMENT is being deposited with the United States Postal Service as EXPRESS MAIL in an envelope numbered EV 471020295 US, addressed to the Commissioner for Patents, Alexandria, VA 22313-1450, on July 16, 2004.

By:

*Georgene B. Sonntag*  
Georgene B. Sonntag

Weeber et al., Homoleptic and Heteroleptic Barium Benzyl Complexes:  
Synthesis and Reactivity as Initiators for Anionic Styrene Polymerizations, XP-000920607  
XP-002234848  
XP-002234867

Zhong et al., A Novel and Versatile Calcium Based Initiator System for the  
Ring-Opening Polymerization of Cyclic Esters, Macromolecules 2001, 34 3863-3868, XP-  
00223847

Schramm, et al., Synthesis of Cationic Hydrotris(Pyrazolyl)Borate Zirconium  
Compounds,, XP-002249590

Under Rule 97(b)(3), this information disclosure statement is being filed  
before the mailing date of a first Office Action on the merits and accordingly no fee is  
necessary.

OG Notice August 5, 2003


The Office has waived the requirement under 37 CFR §1.98(a)(2)(i) that  
copies of cited U.S. patent documents be submitted in connection with all applications filed  
on or after June 30, 2003. As such, copies of the U.S. patent documents cited herein have not  
been included.

It is respectfully requested that the attached documents be considered and  
officially cited in examination of this application.

Respectfully submitted,

FAY, SHARPE, FAGAN,  
MINNICH & McKEE, LLP

Date: July 16, 2004

  
\_\_\_\_\_  
Scott A. McCollister  
Reg. No. 33,961  
1100 Superior Avenue, Seventh Floor  
Cleveland, Ohio 44114-2518  
(216) 861-5582

Subst. Form PTO-1449

Atty. Docket No.: YOU 2 00105

Serial No.: Unknown 10/501684

APPLICANT'S(S) INFORMATION  
DISCLOSURE STATEMENT

Applicant(s): Marshall et al.

Filing Date: Herewith

Group: Unknown

## U.S. PATENT DOCUMENTS

Initial*		Document No.	Date	Name	Class	Subcl.	Filing Date
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

## FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Subcl.	Translation?
	AL	EP 0 747 405 A1	11.12.1996	EPO			
	AM						
	AN						
	AO						
	AP						
	AQ						

## OTHER ART

	AR	Gibson et al., Novel Mono-alkyl Magnesium Complexes Stabilized by a Bulky $\beta$ -Diketiminatate Ligand: Structural Characterization of a Coordinatively Unsaturated Trigonal System, J. Am. Chem. Soc. 2000, 122, 7120-7121, XP-002234846
	AS	Weeber et al., Homoleptic and Heteroleptic Barium Benzyl Complexes: Synthesis and Reactivity as Initiators for Anionic Styrene Polymerizations, XP-000920607
	AT	XP-002234848
	AU	XP-002234867
	AV	Zhong et al., A Novel and Versatile Calcium Based Initiator System for the Ring-Opening Polymerization of Cyclic Esters, Macromolecules 2001, 34 3863-3868, XP-00223847
	AW	Schramm, et al., Synthesis of Cationic Hydroprtris(Pyrazolyl)Borate Zirconium Compounds,, XP-002249590

Examiner:

Date Considered:

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if in conformance and not considered. Include copy of this form with next communication to applicant.